



#6
JC20 Rec'd PCT/PTO 07 MAY 2002

PATENT
1155-0198P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: HIGASHI et al. Conf.:
Appl. No.: 09/555,255 Group: Unassigned
Filed: May 26, 2000 Examiner: UNASSIGNED
For: ORTHOAIKYLATION CATALYST FOR PHENOL AND
PROCESS FOR PRODUCING ORTHOAIKYLATION
PHENOL WITH USE THEREOF

LETTER RESPONDING TO NOTIFICATION OF MISSING
REQUIREMENTS UNDER 35 U.S.C. § 371

Assistant Commissioner for Patents
Washington, DC 20231

May 7, 2002

Sir:

This Letter responds to the Notification of Missing Requirements under 35 U.S.C. § 371 dated March 27, 2002. The Notification states that the Declaration/Power of Attorney Form identifies the first inventor as Mr. Mitsuhiro **HIGASHI** which differs from the English translation of the name of the first inventor identified on the PCT RO 101 form of Mr. Mitsuhiro **AZUMA**. It turns out that the Chinese character used in the international Japanese PCT application can be translated as either "Higashi" or "Azuma". The PCT RO 101 form incorrectly states that the name is "Azuma", since the actual family name of the first inventor is "Higashi". This is confirmed by the enclosed Declaration dated April 19, 2002 made by an English/Japanese translator. Consequently, the filed Declaration/Power of Attorney Form is correct, whereas the translation made in connection with the PCT RO 101 form is incorrect.

In view of the above, Applicants have complied with the requirements of 37 C.F.R. 1.497(a) and (b), since the

Declaration correctly identifies the first inventor as Mitsuhiro HIGASHI which correctly corresponds to the Chinese character name of this inventor submitted in connection with the PCT RO 101 form. It is requested that the Notification of Missing Requirements be withdrawn and that an appropriate Notification of Acceptance issue in connection with the present application.

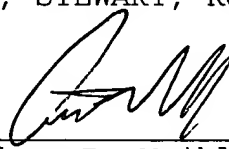
If any questions arise with regard to any other issues concerned with the above-noted matters, please contact Applicants' representative, Andrew D. Meikle, in the Washington metropolitan area at the phone number listed below.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By


Andrew D. Meikle, #32,868

P.O. Box 747
Falls Church, VA 22040-0747
(703) 205-8000

ADM/csm
1155-0198P

Attachments: 1) Copy of Notification of Missing Requirements
2) Copy of Declaration/Power of Attorney Form
3) Copy of Declaration by English/Japanese translator date April 19, 2002
4) Copy of PCT Request (page 1)
5) Copy of receipt of Request for International Application showing correct name of "HIGASHI"



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/555,255	MITSUHIRO HIGASHI	1155-0198P

INTERNATIONAL APPLICATION NO.

PCT/JP99/05273

I.A. FILING DATE	PRIORITY DATE
09/28/1999	09/28/1998

2292

BIRCH STEWART KOLASCH & BIRCH
 PO BOX 747
 FALLS CHURCH, VA 22040-0747

5-2703
 DOCKETED
 Perfect

CONFIRMATION NO. 4222

371 FORMALITIES LETTER



OC000000007706586

Date Mailed: 03/27/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Assignee Statement
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination
- Small Entity Statement

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
 - Declaration identifies inventor as "Mitsuhiro Higashi" and PCT RO 101 identifies inventor as "Mitsuhiro Azuma"
- \$65 Surcharge for providing the oath or declaration later than the appropriate 20 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/555,255	PCT/JP99/05273	1155-0198P